



# The Hilltop Institute

*analysis to advance the health of vulnerable populations*

## **Home and Community-Based Services: Examining the Evidence Base for State Policymakers**

*A symposium hosted by  
The Hilltop Institute*

Thursday, June 11, 2009  
8:30 a.m. - 4:30 p.m.  
Reception Following

UMBC  
Public Policy Building  
Lecture Hall 9

**UMBC**  
AN HONORS UNIVERSITY IN MARYLAND



## Agenda

8:00-8:30	Registration and Continental Breakfast
8:30-8:45	Welcome and Overview
8:45-9:45	Keynote Session: Creating the Analytic Framework to Understand and Evaluate Home and Community-Based Services (HCBS)
9:45-10:00	Break
10:00-11:00	Session 1: Effectively Assessing Quality in HCBS
11:00-12:00	Session 2: Assessing the Performance of Consumer-Directed and Tailored Service Initiatives within HCBS
12:00-1:30	Luncheon  Presentation: Policy and Care Issues in Evolving HCBS Systems
1:30-2:30	Session 3: Effectively Targeting Candidates for HCBS
2:30-2:40	Break
2:40-4:00	Session 4: Determining whether HCBS is Cost-Effective
4:00-4:30	Reflections
4:30-6:00	Reception



## Welcome and Overview

**Charles Milligan**, The Hilltop Institute at UMBC

### **Keynote Session: Creating the Analytic Framework to Understand and Evaluate Home and Community-Based Services (HCBS)**

The keynote session will construct a framework for productive discussion of home and community-based services, taking into account the rapidly evolving service modalities in both institutional and community settings. The vastly different models and approaches that “home and community-based” now encompasses require the generation of a new analytic framework in order to advance an evidence-based research agenda.

**Susan Reinhard**, AARP Policy Institute

**Commentator: Martha Roherty**, National Association of State Units on Aging

**Moderator: Charles Milligan**

### **Session 1: Effectively Assessing Quality in HCBS**

This session will focus on the challenge of measuring and promoting quality care in an environment in which data collection instruments and definitions vary and often lack comparability. More fundamental is the challenge of attempting to tease out quality measures for long-term home and community supports in a “disablement process” generally interrelated with chronic health conditions and the medical care delivery system.

*The Evolution in Measuring whether State HCBS Waivers Deliver Quality*  
**Gale Arden**, BlueCross BlueShield of Tennessee

*Dimensions of Quality HCBS in an Integrated Care Environment*  
**Walter Leutz**, Brandeis University

**Moderator: Cynthia Woodcock**, The Hilltop Institute at UMBC

## **Session 2: Assessing the Performance of Consumer-Directed and Tailored Service Initiatives within HCBS**

There is considerable public interest and growing government support for individualized planning and consumer-directed initiatives. This session will address the challenge of balancing consumer control and preference with the most efficient use of limited HCBS resources. How is cost effectiveness measured? The session will also address the challenges associated with transparent and appropriate use of public funds, and the incorporation of beneficiary protections. To what extent are satisfaction and success synonymous in this context?

*The Relative Cost Effectiveness of Consumer-Directed Care*

**Randall S. Brown**, Mathematica Policy Research, Inc.

*The Evolving State Role in Managing Consumer-Directed Service Planning*

**William Ditto**, New Jersey Division of Disability Services

**Moderator: Stephanie Cannon-Jones**, The Hilltop Institute at UMBC

### **Luncheon Presentation: Policy and Care Issues in Evolving HCBS Systems**

**Carol Raphael**, Visiting Nurse Service of New York

**Moderator: Charles Milligan**

## **Session 3: Effectively Targeting Candidates for HCBS**

No two states use the same assessment/screening instrument for HCBS programs, and some states even use different instruments for each HCBS program within the state. In each instance, the effectiveness of HCBS is dependent on appropriately identifying candidates for program enrollment, either from among nursing home residents or from within the community. This session will explore design features to effectively target older adult populations and individuals with physical disabilities for HCBS programs, including state agency challenges and successes.

### Session 3 continued ...

*Targeting Nursing Home Residents: What We Need to Know*  
**Leslie Hendrickson**, Hendrickson Development

*Comprehensive Assessment and Service Planning: What We Need to Do*  
**Kathy Leitch**, Washington State Department of Social and Health Services

**Moderator: Anthony Tucker**, The Hilltop Institute at UMBC

### Session 4: Determining whether HCBS is Cost-Effective

This session will approach the question of cost effectiveness from multiple perspectives and examine evidence from various program evaluations. Does the expansion of non-institutional long-term care services reduce nursing home utilization, Medicaid spending, or public spending in general? To what extent does the expansion of HCBS result in unintended costs by monetizing informal care?

*Can States Reduce Spending through HCBS?*  
**H. Stephen Kaye**, University of California, San Francisco

*The Rebalancing of Long-Term Care: Implications for Cost and Quality*  
**David Grabowski**, Harvard Medical School

*Understanding the True Costs and Benefits of Informal Caregiving*  
**Peter Arno**, New York Medical College

**Moderator: Stacey Mazer**, National Association of State Budget Officers

### Reflections

**Conversant: Henry Claypool**,  
US Department of Health and Human Services

**Moderator, Michael Nolin**, The Hilltop Institute at UMBC



## About the Speakers

**Gale P. Arden, MBA**, is Director of Public Programs, Research and Planning for Federal Programs at BlueCross BlueShield of Tennessee and former Director of the Disabled and Elderly Health Program Group in the Center for Medicaid and State Operations at the Centers for Medicare and Medicaid Services (CMS).

**Peter S. Arno, PhD**, is Professor in the School of Public Health at New York Medical College.

**Randall S. Brown, PhD**, is Vice President and Director of Health Research at Mathematica Policy Research, Inc.

**Stephanie Cannon-Jones, MPP**, is Senior Research Analyst in the Long-Term Supports and Services Unit at The Hilltop Institute at UMBC

**Henry Claypool** is Director of the Office on Disability at the US Department of Health and Human Services

**William A.B. Ditto, MSW**, is Director of the Division of Disability Services for the State of New Jersey.

**David C. Grabowski, PhD**, is Associate Professor in the Department of Health Care Policy at Harvard Medical School.

**Leslie C. Hendrickson, PhD**, is Owner and Principal of Hendrickson Development.

**H. Stephen Kaye, PhD**, is Associate Adjunct Professor at the Institute for Health and Aging and Co-Director of the Disability Statistics Center at the University of California, San Francisco.

**Kathy Leitch, MSW**, is Assistant Secretary of the Aging and Disability Services Administration in the Washington State Department of Social and Health Services.

**Walter N. Leutz, PhD, MSW**, is Associate Professor in the Heller School for Social Policy and Management at Brandeis University.

**Stacey Mazer, MPA**, is Senior Staff Associate at the National Association of State Budget Officers (NASBO).

**Charles J. Milligan, Jr., JD, MPH**, is Executive Director of The Hilltop Institute at UMBC.

**Michael A. Nolin, MA**, is Deputy Director of The Hilltop Institute at UMBC.

**Carol Raphael, MPA**, is President and Chief Executive Officer of the Visiting Nurse Service of New York.

**Susan C. Reinhard, PhD, MSN**, is Senior Vice President for Public Policy at AARP.

**Martha A. Roherty, MPP**, is Executive Director of the National Association of State Units on Aging (NASUA).

**Anthony M. Tucker, PhD**, is Director of Special Projects at The Hilltop Institute at UMBC.

**Cynthia H. Woodcock, MBA**, is Director of Long-Term Supports and Services at The Hilltop Institute at UMBC.



## **The Hilltop Institute**

The Hilltop Institute at UMBC is a nationally recognized research center dedicated to improving the health and social outcomes of vulnerable populations.

Hilltop conducts research, analysis, and evaluation on behalf of government agencies, foundations, and other non-profit organizations at the national, state, and local levels.



## Acknowledgements

This symposium would not be possible without the hard work of many individuals at The Hilltop Institute.

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